

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/723,083

HALE AND DORR LLP

NEW YORK, NY 10022

300 PARK AVENUE

28089

11/26/2003

Illimar Altosaar

GOW-013-US

**CONFIRMATION NO. 5920 FORMALITIES LETTER** 

\*OC000000012313422\*

Date Mailed: 04/08/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

# Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

• \$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Z Araya

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

(Complete (if applicable))

Telephone (212) 937-7233

42,812

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1,105.00

SUBMITTED BY

Name (Print/Type)

Signature

Complete if Known			
Application Number	10/723,083		
Filing Date	11/26/2003		
First Named Inventor	Illimar Altosaar		
Examiner Name	To Be Assigned		
Art Unit	1638		
Attorney Docket No.	109144.143-US1		

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None	3. ADDITIONAL FEES					
Deposit Account:	Large Entity   Small Entity					
Deposit Account 08-0219		Fee Fee Code (\$)	Fee Description	Fee Paid		
Number	1051 130	2051 65	Surcharge - late filing fee or oath	65.00		
Deposit Wilmer Cutler Pickering Account Hale and Dorr LLP	1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet			
Name The Director is authorized to: (check all that apply)	1053 130	1053 130	Non-English specification			
Charge fee(s) indicated below Credit any overpayments	1812 2,520	1812 2,520	For filing a request for ex parte reexamination			
Charge any additional fee(s) or any underpayment of fee(s)	1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action			
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action			
	1251 110	2251 55	Extension for reply within first month			
FEE CALCULATION	1252 430	2252 215	Extension for reply within second month			
1. BASIC FILING FEE Large Entity Small Entity	1253 980	·	Extension for reply within third month			
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254 1,530	2254 765	Extension for reply within fourth month			
1001 790 2001 395 Utility filing fee	1255 2,080	2255 1,040	Extension for reply within fifth month	1040.00		
1002 350 2002 175 Design filing fee	1401 340	2401 170	Notice of Appeal			
1003 550 2003 275 Plant filing fee	1402 340	2402 170	Filing a brief in support of an appeal			
1004 790 2004 395 Reissue filing fee	1403 300	2403 150	Request for oral hearing			
1005 160 2005 80 Provisional filing fee	1451 1,510	1451 1,510	Petition to institute a public use proceeding			
SUBTOTAL (1) (\$) 0.00	1452 110	2452 55	Petition to revive - unavoidable			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453 1,330	2453 665	Petition to revive - unintentional			
Fee from	1501 1,370		Utility issue fee (or reissue)			
Total Claims Extra Claims below Fee Paid	1502 490		Design issue fee			
Independent	1503 660		Plant issue fee			
Claims Superior Autiple Dependent Superior Autiple Dependent	1460 130	1460 130	Petitions to the Commissioner			
Large Entity   Small Entity	1807 50		Processing fee under 37 CFR 1.17(q)			
Fee Fee Fee Fee Description	1806 180		Submission of Information Disclosure Stmt			
Code (\$)   Code (\$) 1202 18   2202 9 Claims in excess of 20	8021 40	8021 40	Recording each patent assignment per property (times number of properties)			
1201 88 2201 44 Independent claims in excess of 3	1809 790	2809 395	Filing a submission after final rejection (37 CFR 1.129(a))			
1203 300 2203 150 Multiple dependent claim, if not paid	1810 790	2810 395	For each additional invention to be			
1204 88 2204 44 ** Reissue independent claims over original patent	1801 790	2801 395	examined (37 CFR 1.129(b))			
1205 18 2205 9 ** Reissue claims in excess of 20	1802 900	1802 900	Request for Continued Examination (RCE)  Request for expedited examination			
and over original patent	.502 500	.002 800	of a design application			
SUBTOTAL (2) (\$) 0.00	Other fee (specify)					
**or number previously paid, if greater, For Reissues, see above	*Reduced by	Basic Filing F	ee Paid SUBTOTAL (3) (\$) 11	05.00		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Jane M. Love, Ph.D., Reg. No.: 42,8 Registration No. (Attorney/Agent)

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

licants

Altosaar et al.

U.S. Serial No.:

10/723,083

Filing date

November 26, 2003

For

Production of GM-CSF in Plants

Examiner

To Be Assigned

Art Unit

1638

399 Park Avenue

New York, New York 10022

November 8, 2004

Hon. Commissioner for Patents

Mail Stop: Missing Parts

P.O. Box 1450

Alexandria, VA 22313-1450

SIR:

## **EXPRESS MAIL CERTIFICATE OF MAILING**

"Express Mail" mailing label number: EL 961603724 US Date of Deposit: November 8, 2004 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box: 1450, Alexandria, VA 22313-1450.

Jane M. Love Signature:

Respectfully submitted,

Jane M. Love, Ph.D. Registration No. 42,812 Attorney for Applicants

Wilmer Cutler Pickering Hale and Dorr LLP

399 Park Avenue

New York, New York 10022

(212) 230-7233

Printed Name:

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	Application Number	10/723,083	1	
TRANSMITTAL	Filing Date	11/26/2003		
FORM	First Named Inventor	Illimar Altosaar		
	Art Unit	1638		
(to be used for all correspondence after initial filing	Examiner Name	To Be Assigned		
Total Number of Pages in This Submission	Attorney Docket Number	0109144.143 US1		
	ENCLOSURES (Check all that	apply)		
Fee Transmittal Form	Drawing(s)	After Allowance Communication to To	0	
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences		

ENCLOSURES (Check all that apply)						
Fee Trans	smittal Form		Drawing(s)			After Allowance Communication to TC
F	ee Attached		Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences
Extension  Express A  Information  Certified of Document  Reply to I Incomple	dent/Reply  Infer Final  Infidavits/declaration(s)  In of Time Request  Abandonment Request  On Disclosure Statement  Copy of Priority  Int(s)  Missing Parts/ Inter Application  Reply to Missing Parts  Inder 37 CFR 1.52 or 1.53	Ren	Petition Petition to Convert to a Provisional Application Power of Attorney, Revocati Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on Conarks	Address	-]   ]	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter Other Enclosure(s) (please Identify below):  Postcard  Executed Combined  Declaration and Power of Attorney Computer Diskette
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm Name	Wilmer Cutler Pic	kering	Hale and Dorr LLP			
Signature Janilly Fre						
Printed name Jane M. Love						
Date 11/08/2004 Reg. N		Reg. No.	42,812			
CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:						
Certified of Document Reply to I Incomple Incomplete I	Copy of Priority nt(s) Missing Parts/ ste Application Reply to Missing Parts under 37 CFR 1.52 or 1.53  SIGNA  Wilmer Cutler Pic  that this correspondence is be as first class mail in an en	TURE kering Jar /08/20 ERTIF	THE MEDICANT, ATTOMIC TO THE M. LOVE  TO THE M. LOVE  TO THE TRANSMISSES CSIMILE TRANSMISSES	Reg. No.  SION/MAI	DR AG	Computer Diskette  SENT  42,812  th the United States Postal Service with

CERTIFICATE OF TRANSMISSION/MAILING					
I hereby certify that this correspondence is being facsimile transmitted to the USPTO of sufficient postage as first class mail in an envelope addressed to: Commissioner for P the date shown below:					
Signature					
Typed or printed name	Date	11/08/2004			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Atty. Dkt. 109144.143-US1

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Altosaar et al. Examiner: To be Assigned

U.S. Serial No.: 10/723,083 Art Unit: 1638

Filing Date: November 26, 2003

For: Production of GM-CSF in Plants

# Via Express Mail - Label No. EL 961603724 US

MS Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

This Response is submitted in reply to the Notice to File Missing Parts of Application under 37 C.F.R. §1.53(b), mailed April 8, 2004, a copy of which is submitted herewith, in connection with the above-identified application. A reply is due June 8, 2004.

# **Request for Five-Month Extension of Time**

Applicants also submit herewith a request for a five-month extension of time in order to comply with the outstanding requirements set forth in the Notice to File Missing Parts. Based upon this request, the time period for responding to the Notice is extended up to and including November 8, 2005. Therefore, this Response is being timely filed today.

#### **Declaration and Late Fee**

In reply to the Notice, Applicants submit herewith a signed Combined Declaration and Power of Attorney.

Applicants also hereby authorize the deduction of \$65.00 from Deposit Account No. 08-0219 to cover the late declaration fee for a small entity.

## **Sequence Listing**

In response to the request for a statement that the content of the sequence listing information, applicants hereby affirm in the attached statement, that the content of the sequence listing information recorded in computer readable form is identical to the written sequence listing and includes no new matter.

Applicants: Altosaar et al. Serial No.: 10/723,083 Filed: November 26, 2003

Page 2 of 2

No additional fee is deemed necessary at this time. However, in the event that any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 08-0219.

Date:

Jane M. Love, Ph.D. Registration No. 42,812 Attorney for Applicants

Wilmer Cutler Pickering Hale and Dorr LLP 399 Park Avenue New York, New York 10022

Tel: (212) 937-7233 Fax: (212) 230-8888